

**CUSTOMER ACCOUNT TRANSFER FORM**

Account Type:

Carrying Firm  
Clearing # Carrying Firm Account # Account Title

Single, Joint, Estate, Trust,  
Other (describe)

0443			
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Carrying Firm Name and Address

Commonwealth Securities, Locked Bag 22, Australia Square NSW 1215

Receiving Firm Clearing #	Receiving Firm (DriveWealth LLC) Account #	Receiving Firm Name / Address	Contact
0873		DriveWealth, LLC 97 Main Street, Second Floor Chatham, New Jersey 07928 Clears through: Electronic Transaction Clearing, Inc. 660 S. Figueroa St. 14 <sup>th</sup> Floor, Los Angeles, CA 90017	Customer Service Dept. 800-461-2680

Please indicate if full account transfer/receive (See section A) \_\_\_\_\_ or Partial account transfer (See section B) \_\_\_\_\_  
Please provide approval (See section C)

**A. Full Account Transfer / Receive**

**Please receive my entire securities account from the above indicated Carrying Firm** and remit to it the debit balance or accept from it the credit balance in my securities account.

**Please transfer my entire securities account to the above indicated Receiving Firm**, which has been authorized by me to make payment to you of the debit balance or to receive payment of the credit balance in my securities account. I understand that to the extent any assets in my securities account are not readily transferable, with or without penalties; such assets may not be transferred within the time frames required by the NASD Rule 11870 or similar rule of the NYSE or other designated examining authority. All transfers are subject to the By Laws and Rules of the NSCC.

Unless otherwise indicated in the instruction below, I authorize you to liquidate any non-transferable proprietary money market fund assets that are part of my securities account and transfer the resulting credit balance to the Receiving Firm. I understand that you will contact me with respect to the disposition of any other assets in my securities account that are non-transferable. If certificates or other instruments in my securities account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable such Receiving Firm to transfer them in its name for the purpose of sale, when and as directed by me. I further instruct you to cancel all open orders for my securities account on yourbooks.

I affirm that I have destroyed or returned to you any credit/debit cards and or unused checks issued to me in connection with my securities account.

**B. Partial Account transfer (receive/deliver)**

**Please Receive or Deliver Securities / Funds as Indicated. Receive: \_\_\_\_ Delivery: \_\_\_\_**

Securities to be received / delivered:

Number of Shares	Description of Security	Symbol / Cusip

**Please transfer the requested securities as indicated above.** I understand that to the extent any assets in my securities account are not readily transferable, with or without penalties; such assets may not be transferred within the time frames required by the NASD Rule 11870 or similar rule of the NYSE or other designated examining authority. All transfers are subject to the By Laws and Rules of the NSCC.

**C. Customer Approval:**

Customer's Signature \_\_\_\_\_ Taxpayer ID or SSN \_\_\_\_\_ Date \_\_\_\_\_

Customer's Signature (if Joint) \_\_\_\_\_ Taxpayer ID or SSN \_\_\_\_\_ Date \_\_\_\_\_

**Please attach a copy of the customer's most recent account activity statement.**

-----FOR BROKER USE ONLY-----

Office Use Only: Form Completed Date: / / Completed By (Initials): \_\_\_\_\_

DriveWealth, LLC 97 Main Street, Second Floor, Chatham, New Jersey 07928